### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of

: Atty. Docket No.:

Jeffrey Yass, et al.

: 192154

Serial No.:

Not yet assigned

: Examiner: : Not yet assigned

Filed:

Herewith

:

: Group Art Unit:

For:

SECURITIES AND TRANSACTION

: Not yet assigned

THEREOF

## INFORMATION DISCLOSURE STATEMENT

M.S. Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, the Applicant attaches hereto a completed PTO Form 1449 (modified).

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10

EXPRESS MAIL Mailing Label Number: EV 320478521 US

Date of Deposit: February 20, 2004

I hereby certify that this correspondence, along with any paper referred to a being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to Commissioner for Patents, P.O. Box 1450, Africandia, "N 4 27313-1450.

Katie Keogh

Type or print name of person

# IDENTIFICATION OF TIME OF FILING THIS INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed concurrently with the aboveidentified patent application. Accordingly, no certification, petition, or fee is required. However, if a fee is due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

### IDENTIFICATION OF REFERENCES

U.S. Patents

#### Other Documents

Roy, Elizabeth: "Corts, Cabcos and Other Bond-Like Mutants." TheStreet.com, October 1, 1999

The examiner is respectfully requested to review each of the above-identified references and make them of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate substitute Form 1449, and return one copy to Applicant's undersigned representative.

. The references listed in this Information Disclosure Statement comprise the most pertinent prior art known to Applicant and his attorneys as of the date hereof. This Information Disclosure Statement should not be construed as a representation that the cited references are material or that no better art exists.

Respectfully submitted,

JEFFREY YASS, et a

GREGORY J. LAVORGNA Registration No. 30,469 Drinker Biddle & Reath LLP

One Logan Square V 18<sup>th</sup> and Cherry Sts. Philadelphia, PA 19103-6996 Tel: 215-988-3309

Fax: 215-988-2757 Attorney for Applicants

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION						ATTY, DOCKET NO. 192154		SERIAL NO. Not yet assigned			
						APPLICANT: Jeffrey Yass, et al.					
						FILING DATE Herewith DOCUMENTS			Not yet assigned		
ENAM INER INITI AL		DOCUMENT DATE NUMBER		NAM	E	CLASS	SUBCLASS	SS FILING DATE (IF APPROPRIATE)			
	AA	The state of the s	-					<del> </del>	+		
	AB			Name and Address of the Owner, where	-		1	<del> </del>			
	AC				_	-	-	$\perp$			
	AD						-				
				FOREIGN F	ATEN	r DOCUM	1ENTS			-	
		DOCUMENT NO.		DATE		COUNTR	CLASS	SUBCLAS	S TRANSLATION	NO	
	ΑE		-						1.03	100	
	AF			-	***************************************					_	
	AG						-			<del>                                     </del>	
	AH		T						-		
	•	OTHER DO	CUM	ENTS (Includ	ing Autl	nor, Title,	Date, Perti	nent Pages	Etc.)		
M.S.	AI	Roy, Elizabeth: "Corts, Cabcos and Other Bond-Like Mutants." TheStreet.com, October I, 1999									
	AJ										
	AK										
	AL							-	***************************************		
/Mohammad Shaikh/						DATE CONSIDI	TE CONSIDERED 01/08/2008				